



Responsible Sourcing & Product Stewardship Standard

DATE ISSUED: **NOVEMBER 30, 2021**

DATE LAST REVISED: **DECEMBER 31, 2025**

SUMMARY

Tricon is committed to contributing to sustainable development as part of our business operations. We ask our business partners to not only comply with the law but to make decisions that are ethical and respectful of human rights in business being done with Tricon. The Responsible Sourcing & Product Stewardship Standard outlines the basic requirements we expect from those who provide us goods and services, as well as good practices we encourage business partners to implement. It also explains Tricon’s

role in the process and our expectations for responsible sourcing and product stewardship across the value chain. If your organization is not currently meeting requirements but supports the principles, we will work with you to ensure the requirements are met in an agreed timeframe. We understand sustainability is a journey for all of us and invite business partners to collaborate with us on the path toward a more inclusive, net zero, and circular economy.



WHO IT APPLIES TO

Suppliers
Product Counterparties
Logistics



WHAT TRICON EXPECTS

Compliance
Ethics
Environment
Human Rights



HOW IT'S IMPLEMENTED

Due Diligence
Assessments
Audits
Improvement

The document is divided into sections according to different business relationships:

- » **Introduction** – Overview of Tricon’s commitments and general expectations
- » **Expectations for Suppliers** – For providers of goods and services to Tricon
- » **Expectations for Product Counterparties** – For parties supplying or purchasing products related to Tricon’s trading and distribution business (e.g., raw materials, chemicals, polymers)
- » **Logistics** – For providers of logistics services, such as warehousing and transportation



Introduction

PURPOSE

Tricon’s Responsible Sourcing & Product Stewardship Standard (“the Standard”) outlines our approach to value chain sustainability. It aims to help our suppliers and customers do business responsibly and develop good practice in ethical, environmental, and social topics.

This standard reflects our ambition to create positive social and environmental change beyond our own boundaries and contribute to a more just, inclusive, and environmentally sustainable society. We ask our suppliers and partners in the value chain to support this ambition.

SCOPE

The Standard applies to Tricon, its entities, and those we conduct business with. It is intended to provide expectations and guidance for business partners in the work they do with Tricon.

In certain jurisdictions, the expectations in this Standard may go beyond local regulation, as it is intended to serve as a global standard for social and environmental responsibility. In other cases, local regulation may be higher, and you should comply with that higher standard. The standard highlights “basic requirements” and “good practice.” We recognize sustainability is a collaborative journey and take a view to continuous improvement.

Application of this Standard is supported by Tricon’s Sustainability Risk Management & Due Diligence Process, which incorporates risk analysis, screening, assessments, audits, and corrective action follow-up to evaluate alignment with this Standard and drive ongoing improvement.

RESPONSIBILITIES

TRICON’S RESPONSIBILITIES

Tricon employees are responsible for knowing and implementing this Standard and working through our business relationships to uphold the principles and obligations detailed here. The Chief Sustainability Officer and Chief Compliance Officer are responsible for updating and reviewing this guidance, in alignment with the Sustainability Committee, and supporting implementation with tools, resources, and training and capacity building.

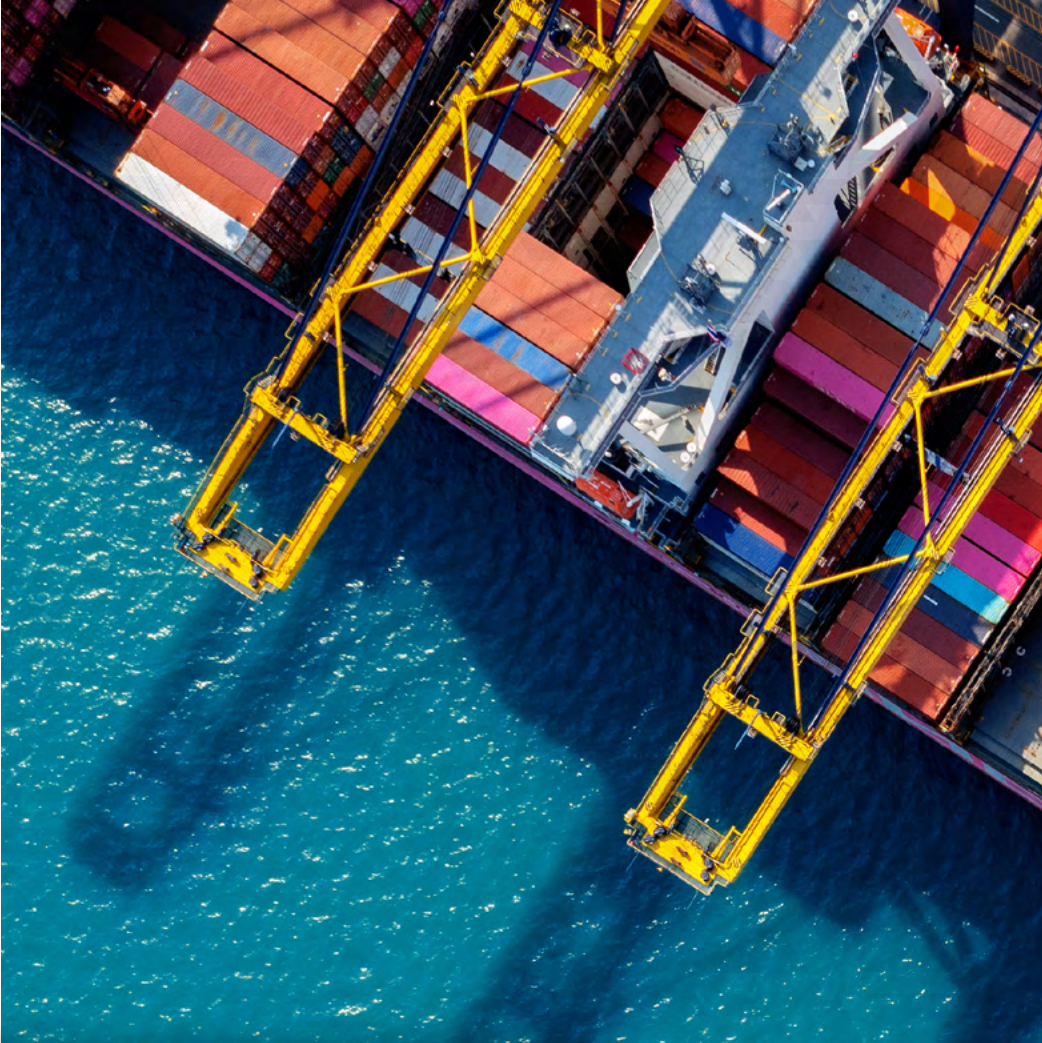


SUPPLIER’S RESPONSIBILITIES

Suppliers¹ are responsible for understanding and working as appropriate to align with this Standard, meeting basic requirements relevant to their company’s operations. Suppliers are encouraged to implement and document good practice measures and improvements.



¹ ‘Suppliers’ refers to all business partners who provide goods or services to Tricon, including product suppliers (manufacturers, processors, traders) and non-product suppliers such as logistics providers (transport, warehousing, terminals, customs agents and forwarders, etc.)



TRICON'S COMMITMENTS

RESPONSIBLE SOURCING COMMITMENT

We are committed to purchasing materials and services from suppliers that operate responsibly and offer environmental or social benefits or reduce negative impacts. We ask suppliers, according to risk level, to complete self-assessment questions during the onboarding process, as part of our due diligence. We may request suppliers to complete assessments or audits.

Tricon considers sustainability in supplier engagement, aiming to provide preference to demonstrated sustainability performance and improvements, and competitively select suppliers without compromising the principles of this Standard.

PRODUCT STEWARDSHIP COMMITMENT

We believe in promoting sustainable product stewardship through industry and stakeholder collaboration and by leveraging our position in the value chain to create awareness and opportunity for more sustainable product production and use.

We comply with applicable environmental laws and regulations, including governance around the products we trade. Tricon only trades legal products and products that have a legitimate purpose in supporting people and the economy. We endeavor to gradually increase the environmental sustainability of the portfolio of products we trade.

Hazardous materials, chemicals, and dangerous substances must be safely stored, handled, recycled, reused, disposed, and reported per applicable regulations, such as the US Toxic Substances Control Act; Regulation (EC) No 1272/2008 on the classification, labelling and packaging of substances and mixtures (CLP Regulation); and EU REACH, UK REACH and similar international regulations governing the registration, evaluation, authorization, and safe use of chemicals. Suppliers of products must provide accurate and up-to-date Safety Data Sheets (SDS/eSDS) in required languages complying with the Global Harmonized System (GHS) and any other applicable regulations, ensure that substances placed on the market have valid registrations within the supply chain, and support Tricon in meeting its REACH obligations, including communication of safe use information and exposure scenarios when required. Product handling must protect the health and safety of people and the environment, meeting relevant quality specifications. This includes, where applicable, that Tricon provide a copy

of the current safety data sheets to customers and logistics providers, in compliance with regulation. Tricon subscribes to CHEMTEL which provides world-wide around the clock emergency support to questions about the products we trade.

COMMITMENT TO RESPONSIBLE INVESTMENTS

Tricon may invest in capital goods, joint ventures, assets, and acquisitions. We will undertake environmental, social, and governance due diligence for these investments. Tricon aims to invest in ways that meaningfully improve economic, environmental, social, and governance results.

REPORTING ISSUES

Suppliers and business partners should report any issues or violations to Tricon Listens Helpline via our website. Tricon will investigate any concern raised.

EXPECTATIONS FOR TRICON'S SUPPLIERS

This section applies to all Tricon’s direct or Tier 1 suppliers, including agents, brokers, material providers, service providers, logistics providers, and other upstream supply chain relationships. Trading product customers should visit the [product counterparties](#) section.

ETHICS & COMPLIANCE

 GENERAL

✓ BASIC REQUIREMENTS

Comply with all applicable laws and regulations in the countries where they operate, including anti-bribery and anti-corruption laws; laws relating to international trade, including sanctions, export controls, and reporting obligations; data privacy and protection; and anti-trust and competition laws.

★★★ GOOD PRACTICE

- Implement compliance policies, such as anti-corruption, as part of the company’s compliance program.
- Conduct regular training and communication campaigns to promote ethical conduct and awareness of the compliance program.
- Have clear procedures for policy violations and a dedicated process for employees and third parties to raise concerns.
- Ensure that any third party the supplier uses complies with all applicable laws and regulations.

 ANTI-CORRUPTION

Tricon has zero tolerance for any act of bribery or corruption. We do not improperly offer, give, or accept anything of value to obtain or retain business or for any other purpose. “Anything of value” should be viewed very broadly: cash, an expensive gift, the use of a beach house, and a job offer are all examples of “anything of value.”

✓ BASIC REQUIREMENTS

- Conduct business without bribery, corruption, or any type of fraudulent behavior.
- Providing and receiving courtesies such as gifts, meals, travel, or entertainment (“hospitalities”) can be perfectly appropriate and a normal part of a business relationship. Suppliers should keep in mind, however, that the provision of hospitalities can be corrupt or appear to be corrupt. When transacting Tricon-related business, suppliers may not offer, give or accept any hospitalities that could compromise or raise doubts about the objectivity of the decision maker.

★★★ GOOD PRACTICE

- Senior management are engaged in the ethics and compliance program and promote transparency and accountability with appropriate record keeping, traceability, and management systems.

ETHICS & COMPLIANCE

✓ BASIC REQUIREMENTS



EXPORT CONTROLS

- Follow all applicable sanctions and export control laws. Suppliers should not directly or indirectly, sell, export, re-export, transfer, divert or dispose of any product received, to any person, firm, entity, country or countries in violation of sanctions.
- Evaluate any suspicious circumstance regarding controlled products, end-use, end-user, or ultimate country of destination.



ANTITRUST AND ANTI-COMPETITIVE BUSINESS PRACTICES

- Antitrust/competition laws promote competition and protect consumers from anticompetitive practices. Some common examples of competition law violations include price-fixing, bid rigging, and market allocation. As it pertains to business with Tricon, Tricon suppliers must refrain from any of these practices if they violate applicable laws.



PRIVACY AND DATA SECURITY

- When suppliers handle personally identifiable information on behalf of Tricon, our employees or customers, then they must contractually commit to apply privacy and information security safeguards.



CONFLICTS OF INTEREST

- Declare any potential conflict of interest in any business dealings with Tricon, including any ownership or beneficial interest in a supplier's business by a Tricon employee, agent, close family member, government official, or other potential conflict of interest.



BUSINESS AND FINANCIAL RECORDS

- Provide honest and accurate invoices.

WORKER WELFARE & HUMAN RIGHTS

GENERAL

Tricon will not tolerate human rights abuses, human trafficking and/or slavery, and will not engage or be complicit in any activity that solicits or encourages human rights abuse.

BASIC REQUIREMENTS

- Respect human rights in communities of operation, guided by the UN Guiding Principles on Business and Human Rights, and the rights of workers, in accordance with the ILO core conventions and Declaration on Fundamental Principles and Rights at Work.

ETHICAL RECRUITMENT

BASIC REQUIREMENTS

- Recruitment practices must be ethical, legal, voluntary, and free from discrimination. This means that workers do not pay recruitment fees, and employment-related costs are covered by suppliers.
- Provide workers clear terms of employment in a language understandable to the worker.

GOOD PRACTICE

- Promote diversity and inclusion in recruitment and pay particular attention to ensuring dignity, respect, and fairness for vulnerable workers, including short-term workers, women, migrants, interns, and other disadvantaged workers.
- Limit use of recruitment agencies, labor brokers, and temporary and contract workers where feasible.

FAIR TERMS AND CONDITIONS OF WORK

BASIC REQUIREMENTS

- Consistently uphold terms agreed in the contract and conditions that protect worker welfare.
- Wages and benefits comply with local law, including overtime payment, are provided regularly and on time and not subject to unlawful pay deductions. All overtime is voluntary.
- Provide working hours and rest hours (at least one day per seven days or two per fourteen days) in accordance with applicable ILO conventions.

GOOD PRACTICE

- Track hours worked and ensure routine working hours do not exceed 60 hours per week, including overtime. Overtime is not used to replace additional workers.
- Assess compensation practices to ensure workers are paid a living wage and that compensation is fair with equal pay for equal work.
- Recognition and promotion processes and practices are made based on worker performance, without discrimination.

WORKER WELFARE & HUMAN RIGHTS



FREEDOM FROM FORCED LABOR, MODERN SLAVERY, AND HUMAN TRAFFICKING

✓ BASIC REQUIREMENTS

- Workers are employed voluntarily, free to change employers, and not forced, including under any threat or intimidation, to work outside of agreed terms.
- Except for reasonable health and safety reasons, workers are free to leave supplier's facilities and to access communications outside of normal working hours.
- Not retain workers' personal documents, such as passports, without the free consent of the worker and must provide workers with access to their documents in a reasonable timeframe upon request.
- Not impose penalties for employment termination or provide loans with terms that could be construed as debt bondage or involuntary labor.
- Provide for migrant workers to return home, where applicable, at the end of the contract, in extenuating circumstances, and/or upon reasonable notice by the worker.
- Prison labor may only be used if truly voluntary, where prisoners participate in rehabilitation or training programs in preparation for release and where the terms and conditions of employment are similar to those in the local labor market.



GOOD PRACTICE

- Ensure all workers are provided with a copy of their contract, in a language they can understand, and conduct training with workers on their rights and welfare.
- Conduct due diligence on any labor brokers or recruitment agencies used to prevent situations of forced labor
- Train management on recognizing signs of forced labor.



FREEDOM FROM HARASSMENT AND DISCRIMINATION

✓ BASIC REQUIREMENTS

- Treat all workers with dignity, respect and fairness, without discrimination of any form.
- Not subject workers to any form of harassment, including psychological, physical, verbal, intimidation, or sexual harassment or abuse.



GOOD PRACTICE

- Communicate anti-discrimination and anti-harassment policies to workers and provide training to management and workers.
- Have in place mechanisms and criteria to promote objective decisions and prevent bias.
- Assess data and performance and take actions to improve diversity, equity, and inclusion, such as providing accommodations and flexibility to support vulnerable workers and workers caring for children and dependents.

WORKER WELFARE & HUMAN RIGHTS



HEALTH & SAFETY

✓ BASIC REQUIREMENTS

- Assess and manage health and safety risks and take appropriate steps to prevent work-related injuries and illnesses.
- Ensure facilities are hygienic with adequate lighting, temperature, ventilation, sanitary facilities, drinking water, and food storage.
- Housing provided by suppliers must comply with the IFC and EBRD Workers' Accommodation guidance.
- Provide workers with appropriate protective equipment, training, and safeguards to conduct their work safely.
- Implement safe handling, storage, loading/unloading, and transport practices aligned with chemical, dangerous goods, and hazardous materials regulations.
- Ensure that the manufacture, storage, transport and transfer of the product maintains quality and certification of the product, as applicable.
- Report any serious incidents, including spills, fires, route-related accidents, fatalities, or dangerous goods violations.
- Hold relevant certifications/approvals, such as the Authorized Economic Operator (AEO) and/or Customs-Trade Partnership Against Terrorism (C-TPAT) requirements, as relevant.



GOOD PRACTICE

- Have effective policies and processes in place for occupational health and safety with regular risk assessments, a culture of continuous improvement, and ongoing training that is relevant to the worker's role and associated risks.
- Track and report health and safety near misses and incidents and analyze trends for improvements.
- Adopt ISO 45001 (Occupational Health & Safety Management) or demonstrate equivalent controls.
- Conduct regular training for workers on chemical hazard awareness, emergency response, spill prevention, and safe handling of dangerous goods.
- Ensure availability of resources to promptly respond to incidents and accidents.
- Conduct exercises and drills to test emergency response plans and response.

WORKER WELFARE & HUMAN RIGHTS

WORKER REPRESENTATION AND VOICE

✓ BASIC REQUIREMENTS

- Respect freedom of association and collective representation in accordance with applicable laws.
- Not discriminate, intimidate, or penalize workers who exercise their right to join or not join an organization. Where these rights are restricted under local law, not hinder alternative means of independent and free association.

★★★ GOOD PRACTICE

- Have in place clear rules governing the activities of trade unions, in alignment with good industry practice.
- In the absence of formal worker representation, encourage social dialogue and worker committees or bodies that allow workers to raise issues and promote their wellbeing.

ACCESS TO GRIEVANCE MECHANISMS

✓ BASIC REQUIREMENTS

- Ensure all workers can express concerns and have in place a process that protects workers who express concerns in good faith from retaliation.

★★★ GOOD PRACTICE

- Have a fair, equitable, and transparent process to raising concerns with multiple channels available to workers, communicated in a culturally appropriate manner.
- Investigate and resolve grievances in a timely manner.
- Provide access to remedy, in accordance with applicable law.

FREEDOM FROM CHILD LABOR

✓ BASIC REQUIREMENTS

- Suppliers shall not employ children under the age of 15. Where young workers are employed, they must not do work that is mentally, physically, socially, or morally dangerous or harmful or that interferes with their education.

★★★ GOOD PRACTICE

- Age verification processes are in place, along with systems to regularly monitor and prevent child labor. Internships and educational programs are conducted with appropriate wage or educational benefit compensation.

ENVIRONMENTAL RESPONSIBILITY

✓ BASIC REQUIREMENTS

- Respect the environment in operations and have in place appropriate measures to identify and manage adverse environmental impacts and comply with all applicable environmental laws and regulations.
- Maintain the appropriate environmental permits for the scope of work with Tricon and, as applicable to operations, have in place environmental management policies and processes to manage risks or potential impacts related to water, waste, energy, hazardous materials, air emissions, spill prevention and response, deforestation, or similar.
- Provide valid and up-to-date SDS/eSDS, Technical Data Sheets, and regulatory documents for any products supplied.
- Ensure compliance with chemical regulatory frameworks such as REACH (EU/UK), TSCA, CLP, ADN, and GHS, where applicable.

★ ★ ★ GOOD PRACTICE

- Integrate environmental considerations into business processes.
- Conduct training on environmental responsibility and communicate to increase environmental awareness.
- Have goals and targets to support sustainability.
- Support Tricon’s aim to contribute to a circular and net zero economy: offering low carbon options, minimizing packaging waste, or demonstrating leadership on lifecycle and waste management (e.g. product design, take-back options, sustainable packaging, recycling).
- Hold relevant environmental certifications (ISO 14001, Responsible Care) or provide alternative evidence of environmental management.
- Share results of environmental audits, inspections, or third-party ESG evaluations when available.

SOCIAL RESPONSIBILITY IN COMMUNITIES

✓ BASIC REQUIREMENTS

- Conduct business responsibly in communities of operation, including respecting human rights in the community and the rights of Indigenous Peoples, in a manner consistent with the UN Guiding Principles on Business and Human Rights and the Voluntary Principles on Security and Human Rights.
- Assess and manage negative risks and impacts to the community, to the extent feasible, such as community safety issues, transportation routes for hazardous materials, noise, dust, and other factors.

★ ★ ★ GOOD PRACTICE

- Identify and address negative impacts to communities, engage stakeholders responsibly and inclusively, and invest in economic, environmental, cultural, and/or social wellbeing.
- Monitor how operations impact vulnerable groups and integrate into decision-making issues like environmental justice and social and economic inclusion.



SPECIFIC EXPECTATIONS FOR TRANSPORTATION AND LOGISTICS

Tricon contributes to sustainability through optimized logistics in the movement of traded products. In addition to the requirements and good practice outlined for Tier 1 suppliers, we look to our logistics partners to collaborate together with us and other stakeholders on sustainability. All suppliers must notify Tricon as soon as possible in case of emergencies related to our products, such as significant spills, contamination or pollution with the potential to seriously harm health, safety, or environment, loss of containment of hazardous materials, or similar.

Incidents involving Tricon products or cargo must be reported immediately to the supplier’s point of contact and/or to Tricon via Chemtel.

All owners/operators of transportation equipment should strive to reduce and/or eliminate the need for entry into enclosed spaces. Where not possible, appropriate training, procedures, and controls must be in place.



ROAD TRANSPORTATION

Logistics providers engaged in road transportation, such as trucking freight, must ensure:

 VEHICLE COMPLIANCE & ROADWORTHINESS	 DRIVER QUALIFICATIONS & BEHAVIOR	 TRANSPORTING GOODS SAFELY	 FATIGUE & WORK-HOUR MANAGEMENT	 ASSESSMENT & CONTINUOUS IMPROVEMENT	 ENVIRONMENTAL RESPONSIBILITY
• Vehicles comply with local laws, are safe and roadworthy, and properly maintained, including checks on brakes, lights, mirrors, engines, and tires. • Vehicle loads respect load limits and have in place any required permits. • Vehicles meet local emissions standards, fuel standards, and environmental regulations.	• Drivers have relevant licenses and are qualified to operate the vehicle. • Drivers and any passengers wear seatbelts and have appropriate safety gear. • Drivers are not under the influence of alcohol or illicit drugs and are not distracted by mobile phones or other devices while driving.	• All applicable safety protocols are in place while transporting goods, including appropriate labels, packaging and placarding of flammable, corrosive, toxic or hazardous goods.	• Drivers comply with hours-of-service and rest-time standards required by local transport, labor, and road-safety regulations to prevent fatigue-related risks.	• Logistics providers are assessed using Tricon’s Road Transportation Checklist according to their risk classification. Where relevant, suppliers are encouraged to maintain appropriate third-party certifications or participate in recognized safety and environmental programs to support continuous improvement in road safety and environmental performance.	• Suppliers should also consider low-emission vehicles, electric or hybrid options, cleaner fuels, and route optimization to reduce emissions and fuel consumption.



Suppliers providing storage or packaging for traded products, such as terminals and warehouses, are expected to have good practices in place:

ENVIRONMENTAL CONTROLS

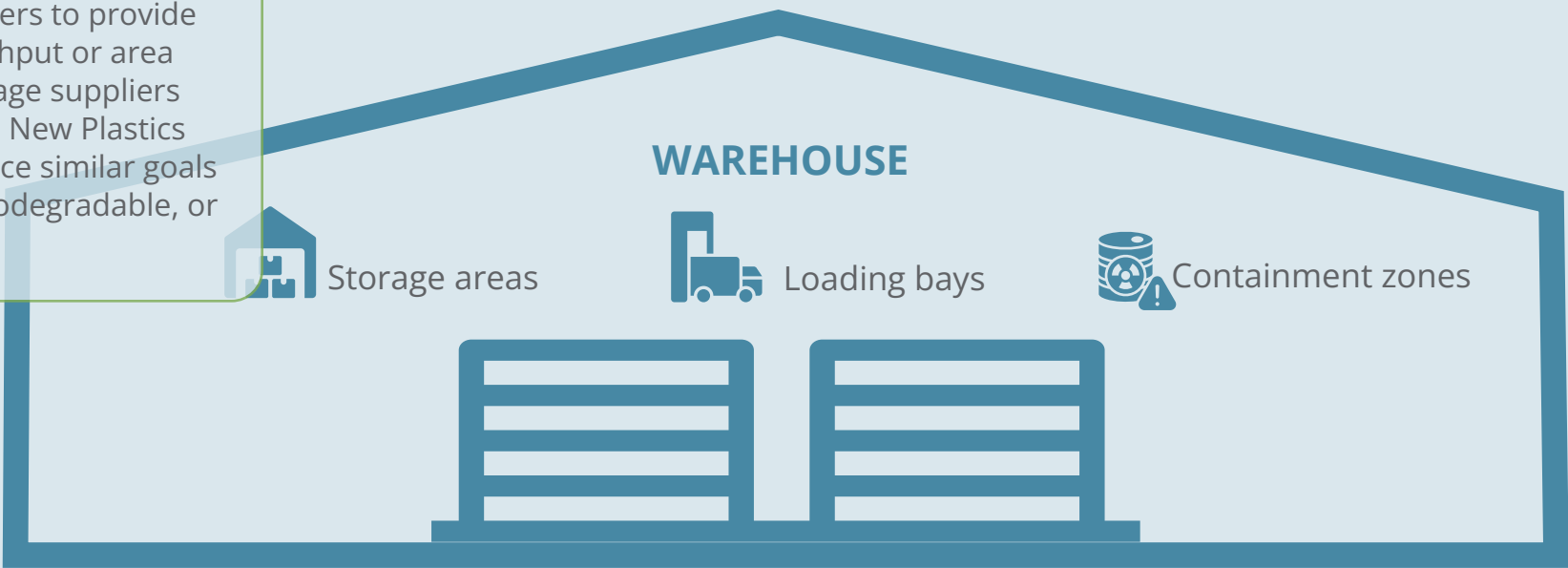
- Spill prevention and response plans
- Controls to limit fugitive or venting emissions
- Air pollution controls and efforts to minimize pollution
- Measures to avoid contamination of soil, groundwater, marine ecosystems, etc.
- Adequate controls to prevent pellet/powder loss, including Operation Clean Sweep for plastic warehouses.
- Measures to improve energy efficiency such as renewable energy, electric forklifts, smart lighting, and/or efficient insulation.
- Where available, we ask our storage suppliers to provide carbon footprint data based on the throughput or area occupied by our products. We also encourage suppliers providing us with packaging to support the New Plastics Economy Global Commitment or put in place similar goals to make packaging reusable, recyclable, biodegradable, or compostable.

SAFETY CONTROLS

- Measures to avoid negative health impacts on workers and local communities
- Safe handling, loading/unloading, transfer, and storage practices aligned with applicable chemical, dangerous goods, and hazardous materials regulations.
- Fire protection measures appropriate to facility risk (e.g., sprinklers, deluge systems, fire breaks, emergency preparedness).

OPERATIONAL GOOD PRACTICE

- Storage, Packaging, and Warehousing service providers are assessed based on Tricon's Warehousing & Terminals Checklist.



CROSS-CUTTING ESG CONSIDERATIONS

- Storage facilities may also have impacts related to environmental justice, land use, rights of Indigenous Peoples, and biodiversity.
- Suppliers should ensure their facilities are designed, built, operated, and decommissioned with respect for human rights and local communities.
- Where relevant, suppliers are also encouraged to maintain third-party certifications such as ISO 14001, ISO 45001, CDI-T (Chemical Distribution Institute Terminal Audit), Responsible Care, Responsible Distribution, Together for Sustainability, or equivalent external audits to verify environmental and safety performance.



OCEAN FREIGHT AND VESSEL CHARTERS

Ship owners, vessel charters, ocean freight, and other relevant seafarer activities must comply with applicable IMO, ILO, and flag state regulation. This includes compliance with the labor, health and safety, and employment conditions of the Maritime Labour Convention (2006).

Suppliers must:



SEAFARER WELFARE

- Provide adequate working and living conditions agreed by the seafarer, ship-owner, ship manager, ports and their representatives
- Provide wage payment to workers at least monthly and in accordance with any applicable collective bargaining agreement
- Ensure maximum work hours and minimum rest times are in accordance with MLC 2006 and with applicable local or national regulations
- Cover costs of repatriation in case of expired employment agreement, reasonable employment termination, illness, injury, shipwreck, insolvency, sale of ship, conflict, or other similar reasons
- Ensure access to prompt medical care for seafarers when on board and in port and comply with the Neptune Declaration on Seafarer Wellbeing.
- Provide appropriate repatriation and crew relief to promote the health, safety, and wellbeing of seafarers.



CARGO QUALITY & CONTAMINATION PREVENTION

- Vessel owners shall ensure compatibility and contamination prevention, including tank/line checks, last-cargo-carried review, cleanliness certificates, gasket/lining compatibility, coating integrity, and dedicated service where required. Reactive cargoes may not be stowed in adjacent compartments/tanks/holds. Vessel owners must use a tool for identifying reactive cargoes, such as the US Coast Guard Compatibility Chart or some other appropriate tool.



ENVIRONMENTAL RESPONSIBILITY:

Marine activities must comply with the International Convention for the Prevention of Pollution from Ships, or MARPOL, to prevent and minimize pollution from shipping. Suppliers should identify and address environmental impacts, including:

- Maintaining spill prevention and response plans
- Preventing the introduction of invasive species
- Reducing waste generation and single use plastics on board vessels
- Ensuring appropriate reuse or legal disposal of washings, waste, slops, and contaminated product.
- Managing water and wastewater treatment and disposal
- Utilizing ultra low sulfur fuels, scrubbers, and other methods to reduce emissions



CLIMATE IMPACT DISCLOSURE:

- We encourage suppliers to align with the UN Sustainable Development Goal 14: Conserve and sustainably use the oceans, seas and marine resources for sustainable development.
- We encourage our container vessel suppliers to align with the Global Logistics Emissions Council (GLEC), or Clean Cargo initiative for assessing and disclosing climate impacts.
- All vessel owners and chartering partners for chemical tankers must provide complete and accurate Sea Cargo Charter (SCC) data forms, in line with Tricon's charter party agreements, or equivalent voyage-level reporting.
- Suppliers are encouraged to adopt low- or zero-emissions fuels, shore power at port, vessel speed optimization, sails, routing efficiency and other appropriate measures to reduce emissions. Selection of measures should include availability, safety and environmental considerations when evaluating which are appropriate for implementation.

END-OF-LIFE EQUIPMENT STEWARDSHIP:

Tricon expects owners of vessels and transport equipment to responsibly repurpose, recycle or dispose of vessels/equipment at the end of life, following good practice to avoid environmental contamination and adverse human rights impacts. The European Union provides a list of vetted shipyards that can be consulted for best practice or in compliance with the EU Ship Recycling Regulation.

EXPECTATIONS FOR PRODUCT COUNTERPARTIES (TRADING)

We expect those we purchase products from and those who purchase products from us to:

LIFECYCLE RESPONSIBILITY

- Respect people, the environment, communities, and human rights in producing products, processing products, and using products

RESPONSIBLE PRODUCT USE

- Use products properly, safely and responsibly and not for any illicit or illegitimate purposes or to fund adverse human rights impacts

TRANSPARENCY & TRACEABILITY

- Maintain and, where applicable, provide the appropriate safety data sheets, regulatory documents, and traceability declarations
- Where applicable, disclose the origins of products supplied to Tricon



PEOPLE & HUMAN RIGHTS

- Respect land and cultural rights of individuals, the local community, and Indigenous Peoples
- Ensure that “energy cropping” is not threatening the food security of the local community

ENVIRONMENT & BIODIVERSITY

- Respect biodiversity, land use, and high conservation areas in the community

ETHICS & LEGAL COMPLIANCE

- Uphold all ethics and compliance principles and laws, as detailed in the [supplier section](#)

We value relationships with businesses who proactively identify more sustainable methods and practices to produce, process, and use products and encourage companies we work with to take a lifecycle approach and consider responsible end use of products in linear models.

We also ask our business partners to join with us in contributing to a more circular economy, integrating the principles of eliminating waste and pollution, circulating products and materials, and regenerating nature, into their decision-making and processes.

ACCESS TO FINANCING

Tricon helps connect people and businesses to products they need. We regularly provide financing and credit to support our customers. All financial transactions must comply with Tricon’s ethics and compliance program. Credit should be used only for the intended purpose and should not contribute to adverse human rights impacts.

REFERENCES AND RESOURCES

European Agreement concerning the International Carriage of Dangerous Goods by Inland Waterways (ADN): <https://unece.org/about-adn>

IFC and EBRD Workers' Accommodation: Processes and Standards: https://www.ifc.org/wps/wcm/connect/topics_ext_content/ifc_external_corporate_site/sustainability-at-ifc/publications/publications_gpn_workersaccommodation

IHRB's The Swiss Commodities Trading Industry – A Mapping Study: https://www.ihrb.org/uploads/reports/IHRB%2C_The_Swiss_Commodities_Trading_Sector_-_A_Mapping_Study%2C_Mar_2017.pdf

ILO's core conventions and Declaration on Fundamental Principles and Rights at Work: <https://www.ilo.org/global/standards/introduction-to-international-labour-standards/conventions-and-recommendations/lang-en/index.htm>

International Convention for the Prevention of Pollution from Ships (MARPOL): [https://www.imo.org/en/About/Conventions/Pages/International-Convention-for-the-Prevention-of-Pollution-from-Ships-\(MARPOL\).aspx](https://www.imo.org/en/About/Conventions/Pages/International-Convention-for-the-Prevention-of-Pollution-from-Ships-(MARPOL).aspx)

IPIECA Company and Supply Chain Labour Rights Guidance: <https://www.ipieca.org/resources/good-practice/company-and-supply-chain-labour-rights-guidance/>

IPIECA Supply Chain Library of Questions and Resources: <https://www.ipieca.org/news/supply-chain-library/>

Maritime Labour Convention, 2006: <https://www.ilo.org/global/standards/maritime-labour-convention/lang-en/index.htm>

Neptune Declaration: <https://www.globalmaritimeforum.org/neptune-declaration/>

Oil Pollution Act 1990: [https://www.epa.gov/laws-regulations/summary-oil-pollution-act#:~:text=\(1990\),or%20unwilling%20to%20do%20so.](https://www.epa.gov/laws-regulations/summary-oil-pollution-act#:~:text=(1990),or%20unwilling%20to%20do%20so.)

Regulation (EC) No 1272/2008 on the classification, labelling and packaging of substances and mixtures (CLP Regulation): <https://echa.europa.eu/regulations/clp/legislation>

The Commodity Trading Sector Guidance on Implementing the UN Guiding Principles on Business and Human Rights: https://www.seco.admin.ch/seco/en/home/Publikationen_Dienstleistungen/Publikationen_und_Formulare/Aussenwirtschafts/broschueren/Guidance_on_Implementing_the_UN_Guiding_Principles_on_Business_and_Human_Rights.html

United Nations Global Compact: <https://www.unglobalcompact.org/>

United Nations Guiding Principles on Business and Human Rights: https://www.ohchr.org/documents/publications/guidingprinciplesbusinesshr_en.pdf

Voluntary Principles on Security and Human Rights: <https://www.voluntaryprinciples.org/>